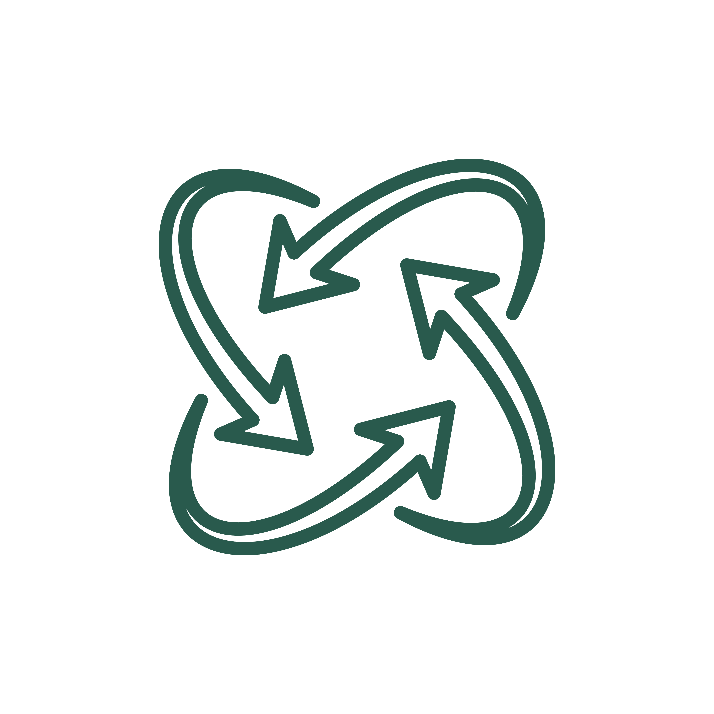
**A close-up of a logo

Description automatically generated**

**DIGITAL, INNOVATION, AND GREEN TECHNOLOGY PROJECT   
(DIGIT PROJECT)**

****

**A blue text on a black background

Description automatically generatedA blue and black text on a black background

Description automatically generatedA logo with green and yellow colors

Description automatically generated**

**REPUBLIC OF CROATIA**

**MINISTRY OF SCIENCE, EDUCATION AND YOUTH**

Donje Svetice 38, Zagreb 10 000, Croatia

**DIGITAL, INNOVATION, AND GREEN TECHNOLOGY PROJECT**

**(DIGIT PROJECT)**

IBRD LOAN NO. 9558-HR

PROJECT ID: P180755



**ANNEX III. INDICATIVE CONTENT OF THE BASELINE SURVEY**

**CALL FOR PROPOSALS**

**“SEAL OF EXCELLENCE UNDER THE SYNERGIES PROGRAM”**

CALL REFERENCE NUMBER: DIGIT.2.2.01

**1st revision**

August 2025

## **Baseline survey for applicants to the Call for proposals "Seal of Excellence under the Synergies program"**

INT1 The Call for proposals "Seal of Excellence under the Synergies program" supports Croatian research organizations in implementing projects that received the Seal of Excellence certificate for proposals submitted under the European Research Council Proof of Concept (ERC PoC) Grant, Marie Skłodowska-Curie Action Postdoctoral Fellowships (MSCA PF) and European Innovation Council Pre-Accelerator – Widening (EIC Pre-Accelerator).

This survey collects data that will allow us to assess the impact of grants on the research and development capacities of Croatian research organizations and enterprises. The information you provide through this survey will not be used to assess the quality of your project application. The survey data will only be used to assess the effectiveness of the "Seal of Excellence under the Synergies program" upon its completion and to inform future improvements to the program.

The estimated time for filling out the survey is as follows:

* ERC PoC Grant: 30 minutes
* MSCA PF: 10 minutes
* EIC Pre-Accelerator: 15 minutes.

Please complete **all** **questions**, unless instructed otherwise. If you take a break from filling out the survey, you can continue from where you left of if you open it in the same web browser on the same device, unless you clear browser cookies. Press the arrow at the bottom of the screen to start the survey.

Any questions regarding this baseline survey must be submitted through the application portal eDIGIT. Potential applicants can submit their questions by selecting the relevant Call (DIGIT.2.2.01) on the application portal. The MSEY will respond to these questions in the form of a Frequently Asked Questions (FAQ) section. The FAQ will be published on the DIGIT Project website. (in footer of each page)

INT2 Please enter contact details in case of questions related to the form:

|  |  |
| --- | --- |
| Name and surname: | Click or tap here to enter text. (text value) |
| Job title: | Click or tap here to enter text. |
| Name of the research organization or enterprise: | Click or tap here to enter text. |
| Telephone number: | Click or tap here to enter text. |
| Email address: | Click or tap here to enter text. (valid email address) |

# Project information

INT\_1 **Important note:** Please enter the correct information about the applicant and the project as they will be used to verify the completion of the survey during the administrative check.

* 1. **Please provide the full name of your project and the name of the applicant.**

**Project name:** Click or tap here to enter text.

**Applicant name:** Click or tap here to enter text.

* 1. **Please mark the Horizon Europe program under which your project received the Seal of Excellence certificate.**

☐ European Research Council Proof of Concept (ERC PoC) Grant (skip section 3)

☐ Marie Skłodowska-Curie Action Postdoctoral Fellowships (MSCA PF) (skip section 4)

☐ European Innovation Council Pre-Accelerator – Widening (EIC Pre-Accelerator) (skip sections 3 and 4)

**1.3. Please mark the predominant scientific area of your project proposal.**

☐ Natural sciences

☐ Technical sciences

☐ Biomedicine and health

☐ Biotechnical sciences

☐ Social sciences

☐ Humanities

**1.4.1. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Natural sciences)

☐ 1.01. Mathematics

☐ 1.02. Physics

☐ 1.03. Geology

☐ 1.04. Chemistry

☐ 1.05. Biology

☐ 1.06. Geophysics

☐ 1.07. Geography

☐ 1.08. Interdisciplinary natural sciences

(dropdown)

**1.4.2. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Technical sciences)

☐ 2.01. Architecture and urban planning

☐ 2.02. Naval architecture

☐ 2.03. Electrical engineering

☐ 2.04. Geodesy

☐ 2.05. Civil engineering

☐ 2.06. Graphic technology

☐ 2.07. Chemical engineering

☐ 2.08. Metallurgy

☐ 2.09. Computer science

☐ 2.10. Mining, petroleum, and geological engineering

☐ 2.11. Mechanical engineering

☐ 2.12. Traffic technology and transport

☐ 2.13. Textile technology

☐ 2.14. Aeronautics, rocket, and space technology

☐ 2.15. Fundamental technical sciences

☐ 2.16. Interdisciplinary technical sciences

(dropdown)

**1.4.3. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Biomedicine and health)

☐ 3.01. Basic medical sciences

☐ 3.02. Clinical medical sciences

☐ 3.03. Public health and health care

☐ 3.04. Veterinary medicine

☐ 3.05. Dental medicine

☐ 3.06. Pharmacy

(dropdown)

**1.4.4. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Biotechnical sciences)

☐ 4.01. Agriculture

☐ 4.02. Forestry

☐ 4.03. Wood technology

☐ 4.04. Biotechnology

☐ 4.05. Food technology

☐ 4.06. Nutrition science

☐ 4.07. Interdisciplinary biotechnical sciences

(dropdown)

**1.4.5. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Social sciences)

☐ 5.01. Economics

☐ 5.02. Law

☐ 5.03. Political science

☐ 5.04. Information sciences

☐ 5.05. Communication sciences

☐ 5.06. Sociology

☐ 5.07. Psychology

☐ 5.08. Pedagogy

☐ 5.09. Educational and rehabilitation sciences

☐ 5.10. Speech and language pathology

☐ 5.11. Kinesiology

☐ 5.12. Demography

☐ 5.13. Social work

☐ 5.14. Interdisciplinary social sciences

(dropdown)

**1.4.6. Please select the predominant scientific field of your project proposal from the dropdown menu.** (if 1.3. = Humanities)

☐ 6.01. Philosophy

☐ 6.02. Theology

☐ 6.03. Philology

☐ 6.04. History

☐ 6.05. Art history

☐ 6.06. Science of art

☐ 6.07. Archaeology

☐ 6.08. Ethnology and anthropology

☐ 6.09. Religious studies

☐ 6.10. Croatian studies

☐ 6.11. Interdisciplinary humanities

(dropdown)

# Horizon Europe participation

INT\_2 This section refers to the research organization acting as your host institution in the ERC PoC Grant or MSCA PF proposal or the enterprise that submitted a project proposal under the EIC Pre-Accelerator call for proposals, for which it received the Seal of Excellence certificate. Please fill out the information on the applicant’s prior participation in Horizon Europe calls for proposals **as main applicant, host institution, or coordinator.**

* 1. **Please mark all the Horizon Europe calls for proposals in which your research organization or enterprise participated in the last three years as main applicant, host institution, or coordinator.**

*It is possible to mark more than one response. Please mark all applicable responses.*

|  |
| --- |
| ☐ MSCA Postdoctoral fellowships |
| ☐ MSCA COFUND |
| ☐ Teaming for Excellence |
| ☐ Horizon Europe Mission: Adaptation to Climate Change |
| ☐ ERC Proof of Concept  ☐ EIC Pre-Accelerator |
| ☐ Other (*please specify*): Click or tap here to enter text. |

* 1. **In the last three years, how many applications were submitted to Horizon Europe calls for proposals in which your research organization or enterprise participated (as main applicant, host institution, or coordinator)?**

|  |  |  |  |
| --- | --- | --- | --- |
|  | | Number of applications submitted | |
| MSCA Postdoctoral fellowships (if 2.1.a selected) | | (number between 1 and 100) | |
| MSCA COFUND (if 2.1.b selected) | | (number between 1 and 100) | |
| Teaming for Excellence (if 2.1.c selected) | | (number between 1 and 100) | |
| Horizon Europe Mission: Adaptation to Climate Change (if 2.1.d selected) | | (number between 1 and 100) | |
| ERC Proof of Concept (if 2.1.e selected) | | (number between 1 and 100) | |
| EIC Pre-Accelerator (if 2.1.f selected) | | (number between 1 and 100) | |
| Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (if 2.1.g selected) | | (number between 1 and 100) | |

* 1. **In the last three years, how many project proposals in which your research organization or enterprise participated (as main applicant, host institution, or coordinator) were awarded the Seal of Excellence?**

|  |  |  |  |
| --- | --- | --- | --- |
|  | | Number of Seal of Excellence certificates | |
| MSCA Postdoctoral fellowships (if 2.1.a selected) | | (number less than or equal to 2.2.\_1) | |
| MSCA COFUND (if 2.1.b selected) | | (number less than or equal to 2.2.\_2) | |
| Teaming for Excellence (if 2.1.c selected) | | (number less than or equal to 2.2.\_3) | |
| Horizon Europe Mission: Adaptation to Climate Change (if 2.1.d selected) | | (number less than or equal to 2.2.\_4) | |
| ERC Proof of Concept (if 2.1.e selected) | | (number less than or equal to 2.2.\_5) | |
| EIC Pre-Accelerator (if 2.1.f selected) | | (number less than or equal to 2.2.\_6) | |
| Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (if 2.1.g selected) | | (number less than or equal to 2.2.\_7) | |

* 1. **In the last three years, how many project proposals in which your research organization or enterprise participated (as main applicant, host institution, or coordinator) received funding from Horizon Europe?**

|  |  |  |  |
| --- | --- | --- | --- |
|  | | Number of projects awarded with funding | |
| MSCA Postdoctoral fellowships (if 2.1.a selected) | | (number less than or equal to 2.2.\_1 minus 2.3.\_1) | |
| MSCA COFUND (if 2.1.b selected) | | (number less than or equal to 2.2.\_2 minus 2.3.\_2) | |
| Teaming for Excellence (if 2.1.c selected) | | (number less than or equal to 2.2.\_3 minus 2.3.\_3) | |
| Horizon Europe Mission: Adaptation to Climate Change (if 2.1.d selected) | | (number less than or equal to 2.2.\_4 minus 2.3.\_4) | |
| ERC Proof of Concept (if 2.1.e selected) | | (number less than or equal to 2.2.\_5 minus 2.3.\_5) | |
| EIC Pre-Accelerator (if 2.1.f selected) | | (number less than or equal to 2.2.\_6 minus 2.3.\_6) | |
| Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (if 2.1.g selected) | | (number less than or equal to 2.2.\_7 minus 2.3.\_7) | |

INT\_3 After clicking on the right arrow, you will no longer be able to go back and edit the answers already entered.

# Postdoctoral Fellowships

* 1. **To your knowledge, has the department hosting the incoming postdoctoral researcher ever hosted visiting researchers before?**

*These activities include hosting researchers employed at research organizations outside Croatia, for a minimum duration of one month.*

☐ Yes

☐ No

* 1. **To your knowledge, has anyone in your research organization (including you) collaborated or worked with or conducted research with a visiting postdoctoral fellow?**

☐ Yes

☐ No

* 1. **Please mark up to three reasons (and at least one) why you believe that hosting a visiting postdoctoral fellow can be beneficial for your research organization.**

|  |
| --- |
| ☐ Enabling researchers at a research organization to meet, interact, and learn from a visiting postdoctoral fellow |
| ☐ Attracting additional international researchers |
| ☐ Establishing collaborations with research organizations abroad |
| ☐ Increasing the number of international research grants that the organization receives |
| ☐ Increasing the quality of the research organization's research results |
| ☐ Increase the commercialization of the research organization's research results |
| ☐ Increasing cooperation with the private sector  ☐ Other (*please specify*): Click or tap here to enter text. |

# ERC PoC Grants

**A. INFORMATION ON THE PRINCIPAL INVESTIGATOR**



INT\_4\_1 In this section, please fill in the information about **the principal investigator.**

*The principal investigator is a person in the project team who has scientific research competencies and who is responsible for the scientific aspects of the project.*

* 1. **Please mark the position of principal investigator in the research organization.**

☐ Full professor, permanent title

☐ Full professor, first selection

☐ Associate Professor

☐ Assistant Professor

☐ Scientific Advisor, permanent title

☐ Scientific Advisor, first selection

☐ Senior Research Associate

☐ Research Associate

☐ Postdoctoral Researcher

☐ Senior Teaching Assistant

☐ Assistant

☐ Doctoral student

☐ Other (*please specify*): Click or tap here to enter text.

* 1. **Please mark the length of service (in years) of the principal investigator in the research organization.**

☐ Less than a year

☐ Between one and 2 years

☐ Between 2 and 5 years

☐ More than 5 years

* 1. **Has the principal investigator ever applied for a mobility grant?**

*Mobility means conducting research as a visiting researcher in an organization outside Croatia, for a minimum duration of one month.*

☐ Yes

☐ No

* 1. **Please enter the number of mobility grants received by the principal investigator.**

*If the principal investigator has applied and has not received any support, please enter 0.* (if 4.3. = Yes)

Number: Click or tap here to enter text. (number between 0 and 100)

* 1. **Please enter an estimate of the total amount of mobility grants received by the principal investigator.** (if 4.4. > 0)

Amount in euro: Click or tap here to enter text. (number greater than 0)

* 1. **Please specify the institution, the country where the mobility ended, and the duration of the mobility activity.** (minimum 1 host institution, 1 host country and 1 duration of mobility)

*If the principal investigator has completed more than one mobility activity, please provide details for the experience of up to 5 most recent mobilities.* (if 4.4. > 0)

|  |  |  |
| --- | --- | --- |
| Name of the host institution | Host country | Duration of mobility |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

* 1. **How many peer-reviewed journal articles has the principal investigator published so far?**

☐ None

☐ 1 – 4 articles

☐ 5 – 9 articles

☐ 10 or more articles

* 1. **Has the principal investigator ever received funding (as a project leader) for a project from another publicly funded program?**

☐ Yes

☐ No

* 1. **Please mark the programs from which the principal investigator received funding (as a project leader).**

*It is possible to mark more than one response. Please mark all applicable responses.* (if 4.8. = Yes)

☐ Centralized European Union programs (e.g. FP7, Horizon 2020, Horizon Europe, etc.)

☐ Croatian Science Foundation (e.g. Research Projects, Installation Research Projects, etc.)

☐ European Structural and Investment Funds (e.g. SIIF, STRIP, IRI, etc.)

☐ Other publicly funded programs (*please specify*): Click or tap here to enter text.

* 1. **Please enter the number of projects for which the principal investigator has received funding from the centralized European Union programs (e.g. FP7, Horizon 2020, Horizon Europe, etc.) as a project leader.** (if 4.9. = Centralized European Union programs (e.g. FP7, Horizon 2020, Horizon Europe, etc.))

Number of projects: Click or tap here to enter text. (number between 1 and 100)

* 1. **Please enter the number of projects for which the principal investigator has received funding from the Croatian Science Foundation (e.g. Research Projects, Installation Research Projects, etc.) as a project leader.** (if 4.9. = Croatian Science Foundation (e.g. Research Projects, Installation Research Projects, etc.))

Number of projects: Click or tap here to enter text. (number between 1 and 100)

* 1. **Please enter the number of projects for which the principal investigator has received funding from the European Structural and Investment Funds (e.g. SIIF, STRIP, IRI, etc.) as a project leader.** (if 4.9. = European Structural and Investment Funds (e.g. SIIF, STRIP, IRI, etc.)

Number of projects: Click or tap here to enter text. (number between 1 and 100)

* 1. **Please enter the number of projects for which the principal investigator has received funding from other publicly funded programs as a project leader.** (if 4.9. = Other publicly funded programs)

Number of projects: Click or tap here to enter text. (number between 1 and 100)

* 1. **Please enter the number of collaborative R&D and innovation projects in which the principal investigator has participated so far.**

*If the principal investigator did not participate, enter 0.*

|  |  |
| --- | --- |
|  | Number |
| With foreign research organizations | (number between 0 and 100) |
| With research organizations in Croatia | (number between 0 and 100) |
| With foreign business organizations | (number between 0 and 100) |
| With business organizations in Croatia | (number between 0 and 100) |

* 1. **Has the principal investigator ever applied for a patent?**

☐ Yes

☐ No

* 1. **Please enter how many patents has the principal investigator applied for and obtained.**

*If there are none, please enter 0.* (if 4.15. = Yes)

|  |  |  |
| --- | --- | --- |
|  | **Number of filed applications** | **Number of approved applications** |
| State Intellectual Property Office (Croatia) | (number between 0 and 100) | (number less than or equal to 4.16.\_1\_1) |
| European Patent Office | (number between 0 and 100) | (number less than or equal to 4.16.\_2\_1) |
| Other patent offices | (number between 0 and 100) | (number less than or equal to 4.16.\_3\_1) |
|  | (at least one must be greater than 0) |  |

INT\_IA When carried out for the purpose of developing and/or implementing a product or process innovation, **innovation activities** include the procurement of machinery, equipment, buildings, software and licenses; engineering and development work, feasibility studies, design, training, research and development, marketing and other types of activities elaborated below. This also includes all types of research and development activities to generate new knowledge or solve scientific or technical problems.

* Product innovation is the introduction of **a new or significantly improved** product or service with respect to its capabilities, ease of use, components or subsystems. **A product** is usually a tangible item such as a smartphone, furniture or software package, but also software that can be downloaded; music and film are also products. **Services** are usually intangible, such as retail, insurance, educational courses, consulting, etc.
* Process innovation is the implementation of **a new or significantly improved** production process, distribution method, or accompanying activity.
  1. **Has the principal investigator ever worked on innovation activities related to:**

|  |  |  |
| --- | --- | --- |
|  | Yes | No |
| New or significantly improved **goods** (*excludes simple resale of new goods and changes of a purely aesthetic nature*) | ☐ | ☐ |
| New or significantly improved **services** | ☐ | ☐ |
| New or significantly improved **production methods** for the production of goods or services | ☐ | ☐ |
| New or significantly improved **methods of logistics, delivery or distribution** for goods or services | ☐ | ☐ |

(if all options are marked "No" skip to 4.25.)

* 1. **Has the principal investigator carried out any of the following activities when developing these innovations?**

*It is possible to mark more than one response. Please mark all applicable responses.*

|  |
| --- |
| ☐ Applied for public funding |
| ☐ Purchased or otherwise assisted by a technology transfer office, business support organization, or similar entity (e.g. a business incubator) |
| ☐ Acquired new equipment, plant, or other forms of physical capital  ☐ Acquired new patents, intellectual property rights, or other forms of intellectual or intangible property |
| ☐ Purchased or otherwise received consulting services from experts |

* 1. **As far as you know, are any of these innovations:**

|  |  |  |  |
| --- | --- | --- | --- |
|  | Yes | No | I don't know |
| The first in Croatia | ☐ | ☐ | ☐ |
| The first in Europe\* | ☐ | ☐ | ☐ |
| The first in the world | ☐ | ☐ | ☐ |

4.19.\* *\* Includes the following countries: Albania, Austria, Belgium, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Italy, Ireland, Kosovo, Latvia, Liechtenstein, Lithuania, Luxembourg, North Macedonia, Malta, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, Serbia, Slovenia, Slovakia, Switzerland, Spain, Sweden, Turkey, and the United Kingdom.*

* 1. **Have the innovation activities of the principal investigator ever resulted in the following:**

|  |  |  |
| --- | --- | --- |
|  | Yes | No |
| Analysis of market potential | ☐ | ☐ |
| Commercialization strategy | ☐ | ☐ |
| Commercialization agreement | ☐ | ☐ |
| Collaborative research | ☐ | ☐ |
| Contract research | ☐ | ☐ |
|  |  |  |
| Protection of intellectual property | ☐ | ☐ |
| Licensing of intellectual property | ☐ | ☐ |
| Setting up a *spin-off* | ☐ | ☐ |
| Launching an innovation on the market (goods and services sold, processes implemented, organizational innovation, design, or marketing innovation) | ☐ | ☐ |

* 1. **Has the principal investigator carried out activities that did not result in innovation for the following reasons:**

|  |  |  |
| --- | --- | --- |
|  | Yes | No |
| Activities were abandoned or suspended before completion | ☐ | ☐ |
| Activities are still ongoing | ☐ | ☐ |

* 1. **Was there some other reason why the activities carried out did not result in innovation?**

☐ Yes

☐ No

* 1. **What are other reasons why the activities carried out have not resulted in innovation?** (if 4.22. = Yes)

Click or tap here to enter text.

* 1. **You have stated that the principal investigator has abandoned or suspended innovation activities. What was the reason for this?**

*It is possible to mark more than one response. Please mark all applicable responses.* (if 4.21.\_1 = Yes)

☐ The innovation was assessed as technically unfeasible

☐ The innovation was assessed as unprofitable

☐ The principal investigator could not purchase certain tangible or intangible assets that were necessary for the development of the innovation

☐ The principal investigator could not recruit staff or consultants with the skills and knowledge needed to develop the innovation

☐ A competitor or other organization developed the same or a very similar innovation before the principal investigator was able to complete theirs

☐ The principal investigator was unable to raise the funds needed to develop the innovation

☐ Other reasons (*please specify*): Click or tap here to enter text.

* 1. **In the past, how significant were the following factors in preventing the principal investigator's innovation activities or decisions to carry them out?**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Level of significance | | | |
|  | High | Medium | Low | Not significant | |
| Lack of information on the rules of commercialization of research within the research organization | ☐ | ☐ | ☐ | ☐ | |
| Lack of or difficulty in obtaining project management support (e.g. technology transfer services) | ☐ | ☐ | ☐ | ☐ | |
| Lack of incentives (monetary or non-monetary) for the commercialization of research | ☐ | ☐ | ☐ | ☐ | |
| Difficulties in obtaining funding (e.g. grants or innovation subsidies from the state budget or EU funding) | ☐ | ☐ | ☐ | ☐ | |
| Lack of or difficulty in acquiring tangible assets (e.g. equipment, machinery, etc.) | ☐ | ☐ | ☐ | ☐ | |
| Lack of or difficulty in acquiring intangible assets (e.g. patents) | ☐ | ☐ | ☐ | ☐ | |
| Lack of or difficulty in hiring external collaborators (e.g. consultants) | ☐ | ☐ | ☐ | ☐ | |
| Lack of or difficulty in forming partnerships (e.g. R&D partnerships) | ☐ | ☐ | ☐ | ☐ | |
| Difficulties in obtaining intellectual property rights for the innovations of the principal investigator (e.g. through patents) | ☐ | ☐ | ☐ | ☐ | |
| Uncertainty or unfavorable market conditions (e.g. demand for products, competition) | ☐ | ☐ | ☐ | ☐ | |
| Uncertainty due to legislation or regulations, excessive administrative burden | ☐ | ☐ | ☐ | ☐ | |

**B. INFORMATION ON THE RESEARCH ORGANIZATION**

INT\_4\_2In this section, please fill out information on the research organization acting as the host institution in the ERC PoC Grant.

* 1. **Has your research organization applied for a patent in the last three years?**

☐ Yes

☐ No

* 1. **Please enter how many patents has your research organization applied for and obtained in the last three years?**

*If there are none, please enter 0.* (if 4.26. = Yes)

|  |  |  |
| --- | --- | --- |
|  | **Number of filed applications** | **Number of approved applications** |
| State Intellectual Property Office (Croatia) | (number between 0 and 100) | (number between 0 and 100) |
| European Patent Office | (number between 0 and 100) | (number between 0 and 100) |
| Other patent offices | (number between 0 and 100) | (number between 0 and 100) |
|  | (at least one must be greater than 0) |  |

* 1. **Please mark other types of intellectual property protection that your research organization applied for in the last three years?**

*It is possible to mark more than one response. Please mark all applicable responses.*

☐ Trademark

☐ Copyright

☐ Industrial design

☐ Other (*please specify*): Click or tap here to enter text.

☐ None (exclusive answer)

* 1. **Please provide information on the number of other intellectual property protection applications your research organization has filed and got approved in the last three years?** (if 4.28. != None)

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Number of filed applications** | **Number of approved applications** | |
| Trademark (if 4.28.a selected) | (number between 1 and 100) | (number between 0 and 100) | |
| Copyright (if 4.28.b selected) | (number between 1 and 100) | (number between 0 and 100) | |
| Industrial design (if 4.28.c selected) | (number between 1 and 100) | (number between 0 and 100) | |
| Other: \_\_\_\_\_\_\_ (if 4.28.d selected) | (number between 1 and 100) | (number between 0 and 100) | |
|  |  |  |

* 1. **Has your research organization ever been involved in any research commercialization activity?**

☐ Yes

☐ No

* 1. **What kind of commercialization was this?**

*It is possible to mark more than one response. Please mark all applicable responses.* (if 4.30. = Yes)

☐ Sale of intellectual property

☐ Licensing of intellectual property

☐ *Spin-off* establishment

☐ Other *(please specify):* Click or tap here to enter text.

* 1. **In the last three years, has your research organization recorded any income from protected intellectual property (e.g. through sales, licensing, or similar)?** (if 4.30. = Yes)

☐ Yes

☐ No

* 1. **Why has your research organization never been involved in any research commercialization activity?** (if 4.30. = No)

Click or tap here to enter text.

**C. INFORMATION ON THE PROJECT**

* 1. **In your opinion, what is the main planned outcome of your project?**

*It is possible to mark more than one response. Please mark all applicable responses.*

☐ Securing intellectual property based on project results

☐ Technical performance demonstration

☐ Prototype development

☐ Conducting market analyses or feasibility studies

☐ Development of commercialization plans

☐ Other *(please specify):* Click or tap here to enter text.

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| EIC Pre-Accelerator INT\_5 The questions in this section refer to an enterprise that is an applicant in a project application to the Call.  **A. RESEARCH ACTIVITIES**   * 1. **Please enter an estimate of the proportion of employees in your company who have at least a tertiary level of education (bachelor's degree and above).**   *If there are none, please enter 0.*  Percentage (%): Click or tap here to enter text.(number between 0 and 100)   * 1. **Please enter an estimate of the number of employees in your company with a PhD.**   *If there are none, please enter 0.*  Number of employees with a PhD:Click or tap here to enter text.(number between 0 and 500)   * 1. **In the last three years, has your company carried out R&D activities?**   Yes  No   * 1. **Please enter an estimate of the average annual R&D expenditure in the last three years.** (if 5.3. = Yes)   Amount in euro:Click or tap here to enter text. (number greater than 0)   * 1. **Please enter an estimate of the average annual share of R&D investments in the last three years, which were covered by public funding (e.g. European Structural and Investment Funds).**   *If there were none, please enter 0.* (if 5.3. = Yes)  Percentage (%):Click or tap here to enter text. (number between 0 and 100)   * 1. **Please enter an estimate of the average annual number of researchers and other support staff in your company who have been engaged in research and development activities in the last three years.**   *If there were none, please enter 0.* (if 5.3. = Yes)  Number of researchers:Click or tap here to enter text. (number between 0 and 500)  Number of support staff:Click or tap here to enter text. (number between 0 and 500)  **B. INNOVATION ACTIVITIES**  INT\_IA When carried out for the purpose of developing and/or implementing a product or process innovation, **innovation activities** include the procurement of machinery, equipment, buildings, software and licenses; engineering and development work, feasibility studies, design, training, research and development, marketing and other types of activities elaborated below. This also includes all types of research and development activities to generate new knowledge or solve scientific or technical problems.   * Product innovation is the introduction of **a new or significantly improved** product or service with respect to its capabilities, ease of use, components or subsystems. **A product** is usually a tangible item such as a smartphone, furniture or software package, but also software that can be downloaded; music and film are also products. **Services** are usually intangible, such as retail, insurance, educational courses, consulting, etc. * Process innovation is the implementation of **a new or significantly improved** production process, distribution method, or accompanying activity.   1. **Has your company ever worked on innovation activities related to:**  |  |  |  | | --- | --- | --- | |  | Yes | No | | New or significantly improved **goods** (*excludes simple resale of new goods and changes of a purely aesthetic nature*) |  |  | | New or significantly improved **services** |  |  | | New or significantly improved **production methods** for the production of goods or services |  |  | | New or significantly improved **methods of logistics, delivery or distribution** for goods or services |  |  |   (if all options are marked "No" skip to 5.13.)   * 1. **Has your company carried out any of the following activities when developing these innovations?**   *It is possible to mark more than one response. Please mark all applicable responses.*  ☐ Applied for public funding  ☐ Purchased or otherwise assisted by a technology transfer office, business support organization, or similar entity (e.g. a business incubator)  ☐ Acquired new equipment, plant, or other forms of physical capital  ☐ Acquired new patents, intellectual property rights, or other forms of intellectual or intangible property  ☐ Purchased or otherwise received consulting services from experts   * 1. **As far as you know, are any of these innovations:**  |  |  |  |  | | --- | --- | --- | --- | |  | Yes | No | I don't know | | The first in Croatia | ☐ | ☐ | ☐ | | The first in Europe\* | ☐ | ☐ | ☐ | | The first in the world | ☐ | ☐ | ☐ |   5.9.\* *\* Includes the following countries: Albania, Austria, Belgium, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Italy, Ireland, Kosovo, Latvia, Liechtenstein, Lithuania, Luxembourg, North Macedonia, Malta, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, Serbia, Slovenia, Slovakia, Switzerland, Spain, Sweden, Turkey, and the United Kingdom.*   * 1. **Have the innovation activities of your company ever resulted in the following:**   *It is possible to mark more than one response. Please mark all applicable responses.*   |  | | --- | | ☐ Analysis of market potential  ☐ Commercialization strategy  ☐ Commercialization agreement | | ☐ Collaborative research | | ☐ Contract research | | ☐ Protection of intellectual property | | ☐ Licensing of intellectual property | | ☐ Setting up a *spin-off* | | ☐ Launching an innovation on the market (goods or services sold, processes implemented) |  * 1. **Has your company carried out activities that did not result in innovation (i.e., product or process innovation being launched on the market)?**   *It is possible to mark more than one response. Please mark all applicable responses.*   |  | | --- | | ☐ No (exclusive answer)  ☐ Yes, activities were abandoned or suspended before completion | | ☐ Yes, activities are still ongoing |  * 1. **You have stated that your company has abandoned or suspended innovation activities. What was the reason for this?**   *It is possible to mark more than one response. Please mark all applicable responses.* (if 5.11. = Yes, activities were abandoned or suspended before completion)  ☐ The innovation was assessed as technically unfeasible  ☐ The innovation was assessed as unprofitable  ☐ The company could not purchase certain tangible or intangible assets that were necessary for the development of the innovation  ☐ The company could not recruit staff or consultants with the skills and knowledge needed to develop the innovation  ☐ A competitor or other organization developed the same or a very similar innovation before the company was able to complete theirs  ☐ The company was unable to raise the funds needed to develop the innovation  ☐ Other reasons (*please specify*): Click or tap here to enter text.   * 1. **Please mark up to three (and at least one) most significant factors preventing your company's innovation activities or decisions to carry them out.**  |  | | --- | | ☐ Lack of information on the rules of commercialization of research within the company | | ☐ Lack of or difficulty in obtaining project management support (e.g. technology transfer services) | | ☐ Lack of incentives (monetary or non-monetary) for the commercialization of research | | ☐ Difficulties in obtaining funding (e.g. grants or innovation subsidies from the state budget or EU funding) | | ☐ Lack of or difficulty in acquiring tangible assets (e.g. equipment, machinery, etc.) | | ☐ Lack of or difficulty in acquiring intangible assets (e.g. patents) | | ☐ Lack of or difficulty in hiring external collaborators (e.g. consultants) | | ☐ Lack of or difficulty in forming partnerships (e.g. R&D partnerships) | | ☐ Difficulties in obtaining intellectual property rights for the innovations (e.g. through patents) | | ☐ Uncertainty or unfavorable market conditions (e.g. demand for products, competition) | | ☐ Uncertainty due to legislation or regulations, excessive administrative burden | | ☐ Other (*please specify*): Click or tap here to enter text. |  * 1. **Please provide information on the number of patent applications your company has filed and got approved in the last three years?**   *If there are none, please enter 0.*   |  |  |  | | --- | --- | --- | |  | **Number of filed applications** | **Number of approved applications** | | State Intellectual Property Office (Croatia) | (number between 0 and 100) | (number between 0 and 100) | | European Patent Office | (number between 0 and 100) | (number between 0 and 100) | | Other patent offices | (number between 0 and 100) | (number between 0 and 100) |  * 1. **Please mark other types of intellectual property protection that your company applied for or obtained in the last three years.**   *It is possible to mark more than one response. Please mark all applicable responses.*  ☐ Trademark  ☐ Copyright  ☐ Industrial design  ☐ Other (*please specify*): Click or tap here to enter text.  ☐ None (exclusive response)   * 1. **Please provide information on the number of other intellectual property protection applications your company has filed and got approved in the last three years.** (if 5.15. != None)  |  |  |  |  | | --- | --- | --- | --- | |  | **Number of filed applications** | **Number of approved applications** | | | Trademark (if 5.15.a selected) | (number between 1 and 100) | (number between 0 and 100) | | | Copyright (if 5.15.b selected) | (number between 1 and 100) | (number between 0 and 100) | | | Industrial design (if 5.15.c selected) | (number between 1 and 100) | (number between 0 and 100) | | | Other: \_\_\_\_\_\_\_ (if 5.15.d selected) | (number between 1 and 100) | (number between 0 and 100) | | |  |  |  |  * 1. **Has your company ever been involved in any research commercialization activity?**   *This includes the sale or licensing of intellectual property, establishment of spin-off companies, or other forms of commercialization of research results.*  ☐ Yes  ☐ No   * 1. **What kind of commercialization was this?**   *It is possible to mark more than one response. Please mark all applicable responses.* (if 5.17. = Yes)  ☐ Sale of intellectual property  ☐ Licensing of intellectual property  ☐ *Spin-off* establishment  ☐ Other (*please specify*): Click or tap here to enter text.   * 1. **In the last three years, has your company recorded any income from protected intellectual property (e.g. through sales, licensing, or similar)?** (if 5.17. = Yes)   ☐ Yes  ☐ No   * 1. **How important are the following reasons for your company not (to a greater extent) to engage in activities of commercialization of research results?**  |  |  |  |  |  | | --- | --- | --- | --- | --- | |  | Degree of importance | | | | |  | High | Medium | Low | Not important | | Lack of financial resources |  |  |  |  | | Lack of other resources (equipment, qualified researchers, etc.) |  |  |  |  | | Lack of stakeholders interested in commercializing research results |  |  |  |  | | Lack of good ideas for innovations that can generate profits |  |  |  |  | | Other (*please specify*): Click or tap here to enter text. |  |  |  |  | |

# Application costs

* 1. **Think about all the costs incurred by your organization during the preparation of the application to the “Seal of Excellence under the Synergies program”, such as the time spent on preparing paperwork, consultants, assistants, materials, etc.**

*Please provide your best estimate of the total costs of preparing the application for this project in euro. For example, if the total costs were ten thousand euro, enter "10000". (Do not include costs related to the preparation of the Horizon Europe application.)*

Amount in euro: Click or tap here to enter text. (number between 0 and 200 000)

* 1. **Approximately how many days did it take your organization to prepare the application?**

*Do not include the days spent to prepare the Horizon application.*

Number of days: Click or tap here to enter text. (number between 1 and 365)

* 1. **What type of human resources did your organization use in preparing the application?**

*It is possible to mark more than one response. Please mark all applicable responses.*

☐ Administrative assistants

☐ Lawyers and legal advisors

☐ Accountants

☐ Researchers and/or technology professionals

☐ Other external (contracted) experts (e.g. consultants for EU funds)

☐ Other (*please specify*): Click or tap here to enter text.

* 1. **In your opinion, are the financial and non-financial costs of applying to the program reasonable?**

☐ Yes

☐ No (*please specify*): Click or tap here to enter text.

# Your feedback on the application process

* 1. **Please indicate to what extent you disagree or agree with the following statements.**

(positive and negative statements will be randomly distributed)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | I completely disagree | I disagree | I neither agree nor disagree | I agree | I completely agree |
| It was **easy** to identify and obtain information about the Call |  |  |  |  |  |
| The objectives of the Call are **clear** |  |  |  |  |  |
| Application support is **timely and relevant** |  |  |  |  |  |
| The application process is **well explained** |  |  |  |  |  |
| The application is **easy** to prepare |  |  |  |  |  |
| The time to complete the application is **sufficient** |  |  |  |  |  |
| The amount and type of information required in the project proposal is **adequate** |  |  |  |  |  |
| Eligibility criteria are **clear** |  |  |  |  |  |
| Eligibility criteria are **fair** |  |  |  |  |  |
| The selection process is **clear** |  |  |  |  |  |
| The selection process is **transparent** |  |  |  |  |  |
| It was **easy** to access the Call application rules |  |  |  |  |  |
| The rules of the Call are **clear** |  |  |  |  |  |
| Procedures in case of non-compliance with the rules of the Call are **flexible** |  |  |  |  |  |
| The list of eligible costs is **appropriate** for the project |  |  |  |  |  |
|  |  |  |  |  |  |
| It was **difficult** to identify and obtain information about the Call |  |  |  |  |  |
| The objectives of the Call are **unclear** |  |  |  |  |  |
| Application support is **not timely and irrelevant** |  |  |  |  |  |
| The application process is **not well explained** |  |  |  |  |  |
| The application is **difficult** to prepare |  |  |  |  |  |
| The time to complete the application is **insufficient** |  |  |  |  |  |
| The amount and type of information required in the project proposal is **excessive** |  |  |  |  |  |
| Eligibility criteria are **unclear** |  |  |  |  |  |
| Eligibility criteria are **unfair** |  |  |  |  |  |
| The selection process is **unclear** |  |  |  |  |  |
| The selection process is **not transparent** |  |  |  |  |  |
| It was **difficult** to access the Call application rules |  |  |  |  |  |
| The rules of the Call are **unclear** |  |  |  |  |  |
| Procedures in case of non-compliance with the rules of the Call are **too rigid** |  |  |  |  |  |
| The list of eligible costs is **not appropriate** for the project |  |  |  |  |  |